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1651

PATENT

ATTORNEY DOCKET NO. LEV.006.01US

TECH CENTER 1600/2000

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Noteborn, *et al.*

Serial No.: 09/555,981

Filed: 1 August, 2000

For: **MOLECULES INTERACTING WITH
APOPTIN**

) Examiner: Not Yet Assigned

) Art Unit: 1651

) **INFORMATION DISCLOSURE**

) **STATEMENT TRANSMITTAL**

BOX DD

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Transmitted herewith is:

[X] A Communication.

[X] Copy of the EPO Search Report.

[X] Copies of References C1, C4-C5, C17, C21-C22, C25 and C30

[X] Return postcard (postage prepaid).

CERTIFICATE OF EXPRESS MAILING

"Express Mail" Label No.: 6L637193800W3

Date of Deposit: Sept 13, 2000

I hereby certify under 37 C.F.R. 1.10 that this correspondence is being deposited with the United States Postal Service as "Express Mail Post Office to Addressee" with sufficient postage on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C., 20231.

(Signature) Jessica Alden

(Printed Name)

1. ☒ This Information Disclosure Statement is filed before the later of:
 - ☐ three months from the filing date of this national application;
 - ☐ three months from the date of entry of the national stage as set forth in 37 C.F.R. § 1.491 in an international application; or
 - ☒ the mailing date of a first office action on the merits.☒ In accordance with 37 C.F.R. § 1.97(b), no fee is required.
2. ☐ This Information Disclosure Statement is filed after the events noted in paragraph 2, but before either:
 - (1) a final action under 37 C.F.R. § 1.113; or
 - (2) a Notice of Allowance under 37 C.F.R. § 1.311.☐ In accordance with 37 C.F.R. § 1.97(c) also enclosed is:
 - ☐ a fee under 37 C.F.R. § 1.17(p) in the amount of \$240; or
 - ☐ a certification as stated below.
3. ☐ This Information Disclosure statement is filed before the payment of the issue fee, but after either:
 - (1) a final action under 37 C.F.R. § 1.113; or
 - (2) a Notice of Allowance under 37 C.F.R. § 1.311.☐ In accordance with 37 C.F.R. § 1.97(d) also enclosed is:
 - (1) A certification, as stated below;
 - (2) A Petition requesting consideration of the Information Disclosure Statement; and
 - (3) The petition fee set forth in 37 C.F.R. § 1.17(i)(1) in the amount of \$130.

Certification

The undersigned also states:

- ☐ Each item of information contained in the Information Disclosure Statement cited herein was cited in a communication from a foreign Patent Office in a counterpart foreign application not more than three months prior to the filing date of this Information Disclosure Statement; or
- ☐ No item of information contained in the Information Disclosure Statement submitted herewith was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing date of this Information Disclosure Statement.

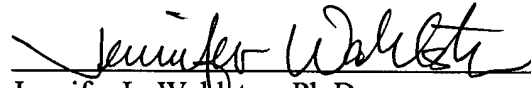
The fees indicated above are:

TOTAL FEES: \$ 0

- ☐ A check including the amount of the above-indicated TOTAL FEES is attached.
- ☐ Please charge Deposit Account No. 18-0020 in the amount of: \$ _____.
- ☐ A check in the amount of \$ _____ is attached.
- ☒ No fee is required.
- ☒ The Commissioner is hereby authorized to charge any underpayment of the following fees associated with this communication, including any necessary fees for extension of time, or credit any overpayment to Deposit Account No. 18-0020.
- ☒ Any filing fees under 37 C.F.R. § 1.16 for the presentation of extra claims.
- ☒ Any patent application processing fees under 37 C.F.R. § 1.17.
- ☒ A duplicate copy of this sheet is attached for accounting purposes.

Respectfully submitted,

Dated: 7 September, 2000

A handwritten signature in cursive script, appearing to read "Jennifer L. Wahlsten", written over a horizontal line.

Jennifer L. Wahlsten, Ph.D.

Reg. No. 46,226

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BRV/JLW

PATENT

ATTORNEY DOCKET NO. LEBV.006.01US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Noteborn, *et al.*

Serial No.: 09/555,981

Filed: 1 August, 2000

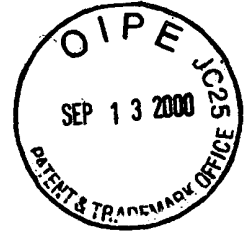
Title: **MOLECULES INTERACTING WITH
APOPTIN**

) Examiner: Not Yet Assigned

) Art Unit: 1651

) **COMMUNICATION**

)
)
)



BOX DD

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:


Applicants attach hereto a copy of the European Search Report and copies of references C1, C4-C5, C17, C21, C22, C25 and C30. The USPTO acknowledges receipt of the PCT International Search Report and copies of references A1, B1-B2, C2, C20 and C38-C39 cited therein, in the Notification of Acceptance of Application mailed 10 August, 2000. References B3-B5, C3, C6-C16, C18, C23-C24, C26-C29, C31-C37 are not believed to be relevant to the patentability of the claimed invention. Therefore, copies of these references will not be sent.

CERTIFICATE OF EXPRESS MAILING

"Express Mail" Label No.: 66637198045

Date of Deposit: September 13, 2000

I hereby certify under 37 C.F.R. 1.10 that this correspondence is being deposited with the United States Postal Service as "Express Mail Post Office to Addressee" with sufficient postage on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C., 20231.

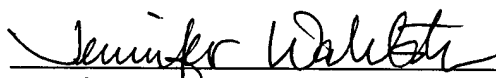
(Signature) 

(Printed Name) Jessica Alden

The subject application is believed patentable over any of the above references.

Respectfully submitted,

Dated: 7 September, 2000



Jennifer L. Wahlsten, Ph.D.

Reg. No. 46,226

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